

1 **Clinical grade ACE2 effectively inhibits SARS-CoV-2 Omicron** 2 **infections**

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34 **Abstract**

35 The recent emergence of the SARS-CoV-2 variant Omicron has caused considerable
36 concern due to reduced vaccine efficacy and escape from neutralizing antibody
37 therapeutics. Omicron is spreading rapidly around the globe and is suspected to account
38 for most new COVID-19 cases in several countries, though the severity of Omicron-
39 mediated disease is still under debate. It is therefore paramount to identify therapeutic
40 strategies that inhibit the Omicron SARS-CoV-2 variant. Here we report using 3D
41 structural modelling that Spike of Omicron can still associate with human ACE2. Sera
42 collected after the second mRNA-vaccination did not exhibit a protective effect against
43 Omicron while strongly neutralizing infection of VeroE6 cells with the reference Wuhan
44 strain, confirming recent data by other groups on limited vaccine and convalescent sera
45 neutralization efficacy against Omicron. Importantly, clinical grade recombinant human
46 soluble ACE2, a drug candidate currently in clinical development, potently neutralized
47 Omicron infection of VeroE6 cells with markedly enhanced potency when compared to
48 reference SARS-CoV-2 isolates. These data show that SARS-CoV-2 variant Omicron can
49 be readily inhibited by soluble ACE2, providing proof of principle of a viable and effective
50 therapeutic approach against Omicron infections.

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52

53 **Introduction**

54 The initial step of SARS-CoV-2 infection is binding of the viral Spike protein to Angiotensin
55 converting enzyme 2 (ACE2) [1-3], followed by proteolytic processing of the trimeric Spike
56 protein [4, 5]. Blocking the Spike/ACE2 interaction is the fundamental principle for the
57 activity of neutralizing antibodies induced by all current vaccines [6, 7]. Similarly, all
58 approved and in development antibodies or nanobodies act by blocking the interaction of
59 the cell-entry receptor ACE2 and the viral Spike protein [8]. Interfering with binding of
60 Spike to its surface receptor ACE2 has become a key paradigm of both vaccine design
61 and multiple therapeutic approaches including ACE2 based therapeutics [9-12]. Vaccines
62 and antibody therapeutics have had an enormous impact on the COVID-19 pandemic.
63 However, many variants of SARS-CoV-2 have emerged throughout the pandemic [13,
64 14], some of which have been designated variants of concern (VOC) by the WHO
65 because of their increased infectivity and transmissibility.

66 Mutations in the viral Spike protein are of critical importance in viral evolution. These
67 mutations do not only affect the infectivity and transmissibility of SARS-CoV-2, but also
68 reduce the potency of vaccines, convalescent sera, and monoclonal antibody
69 therapeutics [14-20]. The recent emergence of the Omicron variant, which contains 61
70 nonsynonymous mutations relative to the original Wuhan strain, is a key example [21-23]
71 More such variants will probably evolve in the future, also in part due to population scale
72 measurements and thereby mounting evolutionary pressure on the virus strains.
73 Mathematical modelling to simulate the dynamics of wild-type and variant strains of
74 SARS-CoV-2 in the context of vaccine rollout and nonpharmaceutical interventions has
75 shown variants with enhanced transmissibility such as Delta and Omicron frequently
76 increase epidemic severity, whereas those with partial immune escape either fail to
77 spread widely or primarily cause reinfections and breakthrough infections [24]. However,
78 when these phenotypes are combined, a variant can continue spreading even as
79 immunity builds up in the population, limiting the impact of vaccination and exacerbating
80 the epidemic. Moreover, based on the experience with HIV therapeutics, it is possible that
81 SARS-CoV-2 variants will emerge that reduce the efficacy of RNA polymerase and

82 protease inhibitors[25-27]. It is therefore paramount to identify robust and universal
83 therapeutics for the prevention and treatment of Omicron and future variants of concern.

84 The Spike/ACE2 interaction is the crucial first step of viral infection. There are concerns
85 that Omicron might also carry mutations that alter its dependency on ACE2 as entry gate,
86 thereby also changing its infectivity and tissue tropism. Here we report, using molecular
87 3D modelling, that human ACE2 can associate with the receptor binding domain (RBD)
88 and full-length Spike proteins of the Omicron SARS-CoV-2 variant. Sera from SARS-CoV-
89 2 naïve and doubly mRNA vaccinated people fail to neutralize Omicron infections of
90 VeroE6 cells, in line with other emerging data that Omicron can in part escape humoral
91 immunity induced by vaccination and in convalescent people [28-30], explaining the
92 increasing number of breakthrough infections. Most importantly, soluble ACE2/APN01,
93 already being tested in clinical trials for severe (WHO stage 4-6) COVID-19
94 (NCT04335136) and in a phase 1 inhalation trial for early intervention, potently and
95 effectively neutralizes infections of Omicron. In addition to our recent data that ACE2
96 blocks all other known SARS-CoV-2 variants of concern, these results provide the
97 blueprint for a universal anti-COVID-19 agent with the potential to alleviate or prevent
98 infections with Omicron.

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100

101 **Results**

102

103 **3D modelling of Omicron Spike and Omicron binding to human ACE2.**

104 Many single or compound mutations, especially in the RBD domain of the viral Spike,
105 have been described and either hypothesized or demonstrated to affect binding to the
106 cell entry receptor ACE2 (see [14] for a review). For the newly emerged Omicron variant,
107 it has been proposed, based on clinical presentations and preprint data, that the mutant
108 Omicron Spike might not or only in an altered manner bind to ACE2, a critical issue for
109 the understanding of disease pathogenesis and viral tropism and therefore potential
110 treatment and vaccine designs. Omicron carries 36 mutations in Spike including multiple

111 alterations in the RBD. We first rendered all mutations of the pre-fusion state Spike of
112 Omicron in 3D using molecular modelling (Figure 1a). The RBD changes of Omicron and
113 the location(s) of the respective mutations are further depicted in a 3D model of the viral
114 Spike RBD domain (Figure 1b).

115 Importantly, structural modelling of ACE2 binding revealed that pre-fusion Omicron Spike
116 could still associate with human ACE2 (Figure 1c). Mutations at residues K417N, E484A,
117 Q493R, Q498R, N501Y, and Y505H at the RBD directly affect binding of Omicron Spike to
118 human ACE2, resulting most likely in greater binding affinity [31]. Of note, the real affinity of
119 the Omicron Spike-ACE2 interaction needs to be determined in direct biochemical
120 experiments and cannot be deduced faithfully from our modelling. Interestingly, Omicron
121 carries mutations at Q498 at Q493, both of these mutations have been reported in mouse-
122 adapted virus strains [32-34], including our recently developed mouse adapted maVie16
123 SARS-CoV-2 strain that causes severe COVID-19 in mice [35]. Thus, it is likely that
124 Omicron will infect rodents. We also modelled the 22 N-glycosylation sites of Spike we
125 and others have previously reported, some of which (N165, N234, N343) directly interact
126 with ACE2 or its glycans [36, 37]. Intriguingly, despite the unprecedented number of
127 observed mutations, none of the N-glycosylation sites critical for ACE2 binding are altered
128 in Omicron Spike (Figure 1c). These molecular modelling data support that pre-fusion
129 Omicron Spike can still readily associate with human ACE2.

130 **Impaired neutralization of Omicron by RNA vaccine elicited antibodies.**

131 We finally tested whether sera from vaccinated people could also still neutralize Omicron
132 infections of VeroE6 cells. To this end, we obtained sera from four SARS-CoV-2 naïve
133 healthcare workers who received an mRNA vaccine (Comirnaty); these sera were
134 collected following ethic approvals 5-7 weeks after the second vaccination. In all cases
135 we observed significant inhibition of infection of the reference SARS-CoV-2 strain.
136 However, at the dilutions used we did not detect any neutralization of Omicron infection
137 of VeroE6 cells (Figure 2). These results are in line with recently emerging studies [38-
138 41] that Omicron carries mutations that can in part escape neutralization by peak antibody
139 levels elicited by the currently standard mRNA vaccines.

140 **ACE2/APN01 effectively neutralizes the Omicron SARS-CoV-2 variant.**

141 We have previously reported that clinical grade soluble recombinant human ACE2
142 (APN01) can effectively reduce the SARS-CoV-2 viral load in VeroE6 cells in a dose
143 dependent manner, using a reference virus isolated early during the pandemic[42]. This
144 virus carried the same Spike sequence as the originally reported virus. Moreover, we and
145 others have shown that ACE2/APN01 not only binds significantly stronger to RBD or full-
146 length Spike proteins of all tested variants (alpha, beta, gamma, delta), but also more
147 potently inhibits viral infection by these strains [30, 31].

148 To test whether APN01 can also neutralize Omicron SARS-CoV-2 isolates, we performed
149 neutralization assays in VeroE6 cells and compared its inhibitory potency side by side to
150 our reference strain. Of note, VeroE6 cells are commonly used to assay SARS-CoV-2
151 infectivity and drug efficacy. The reference virus was previously reported [42, 43] and
152 carries the Spike amino acid sequence described for the first Wuhan virus isolate. As
153 reported before [42], APN01 markedly reduced viral replication of the SARS-CoV-2
154 reference strain in a dose dependent manner (Figure 3a). Importantly, the inhibitory
155 potency of APN01 was significantly increased towards the Omicron variant of concern
156 (Figure 3b). These results show that clinical grade soluble human ACE2/APN01 potently
157 blocks SARS-CoV-2 infections of the recently emerged Omicron VOC.

158

159 **Discussion**

160 Seventeen years after the epidemic of SARS coronavirus, the novel coronavirus SARS-
161 CoV-2 emerged, resulting in an unprecedented pandemic. Throughout the COVID-19
162 pandemic, a plethora of genetic SARS-CoV-2 variants have emerged with some strains
163 displaying increased infectivity and transmissibility, therefore designated Variants of
164 Concern (VOC; <https://www.cdc.gov/coronavirus/2019-ncov/variants/variant-info.html>
165 and <https://www.who.int/en/activities/tracking-SARS-CoV-2-variants/> for further
166 information). Besides the VOC B.1.1.7 (Alpha), B.1.351 (Beta), P.1 (Gamma) and
167 B.1.617.2 (Delta), multiple Variants of Interest (VOI) are also circulating including B.1.526
168 (Iota), B.1.427, B.1.429, B.1.617.1 (Kappa), B.1.617.3, or B.1.525 (Eta). The last weeks

169 have seen the emergence of the Omicron VOC with an unprecedented number of genetic
170 alterations, including 36 changes in Spike [44] <https://www.gisaid.org/hcov19-variants/> .
171 Omicron rapidly spreads and readily re-infects doubly and even triply vaccinated people
172 as well as patients recovered from previous SARS-CoV-2 infections, sometimes leading
173 to severe breakthrough COVID-19, as had been previously observed with the Delta
174 variant [21, 22]. Omicron already has a devastating impact on case numbers in several
175 countries, causing lockdowns and comparable measures, severely affecting social and
176 economic life. Besides improving vaccine designs, booster vaccinations, and the
177 development of adjusted antibodies, it is paramount to identify strategies that might help
178 prevent and treat infections with all current and potential future variants, with a particular
179 urgency for Omicron.

180 The SARS-CoV-2 Spike protein interacts with very high affinity with cell membrane bound
181 ACE2, followed by a subsequent membrane fusion step. Most neutralizing antibodies
182 from vaccinations and convalescent plasma therapies interfere with the Spike/ACE2
183 interaction and nearly all therapeutic monoclonal antibodies or nanobodies have been
184 designed to inhibit the binding of Spike to ACE2 [14]. Conceptually, all SARS-CoV-2
185 variants and “escape mutants” still bind to ACE2 [45-51], which we have recently
186 experimentally shown for the alpha, beta, gamma and delta variants [31]. Moreover,
187 although various other receptors and co-receptors have been proposed, we and others
188 have recently shown that ACE2 is the essential SARS-CoV-2 receptor for respiratory *in*
189 *vivo* infections using ACE2 mutant mice as well as various human organoids, namely
190 human stem cell derived kidney, gastric, and gut organoids as well as stem cell derived
191 cardiomyocytes [52-54], confirming that ACE2 is of crucial importance for COVID-19
192 development and the course of the pandemic. ACE2 is a carboxypeptidase which
193 degrades angiotensin II, des-Arg(9)-bradykinin, and apelin, and thereby is a critical
194 regulator of cardiovascular physiology and pathology, kidney disease, diabetes,
195 inflammation, and tissue fibrosis [55]. In addition, the enzymatic activity of ACE2 is
196 protective against acute respiratory distress syndrome (ARDS) caused by viral and non-
197 viral pneumonias, aspiration, or sepsis. Upon infection, both SARS-CoV-2 and SARS-
198 CoV-1 coronaviruses downregulate ACE2 expression, likely associated with the

199 pathogenesis of ARDS[56]. Thus, ACE2 is not only the SARS-CoV-2 receptor but might
200 also play an important role in multiple aspects of COVID-19 pathogenesis and possibly
201 post-COVID-19 syndromes, a hypothesis that has now in part been experimentally
202 confirmed for SARS-CoV-2 induced lung injury using a bacterial orthologue of ACE2
203 termed B38-CAP [57]. Soluble forms of recombinant human ACE2 are currently utilized
204 by multiple research groups and companies as a potential pan-variant decoy to neutralize
205 SARS-CoV-2 and to supplement the ACE2 carboxypeptidase activity. Our data now show
206 that clinical grade soluble ACE2 can neutralize infections with the SARS-CoV-2 variant
207 Omicron with more than an order of magnitude increased potency when compared to the
208 Wuhan reference strain.

209 Our modelling data predict that soluble ACE2 could readily associate with the RBD and
210 prefusion trimeric Spike of Omicron, most likely with increased affinity and avidity, which
211 is in line with our experimental data on the markedly improved efficacy of ACE2/APN01
212 to block Omicron infections. Moreover ACE2/APN01 has very high affinity to all other
213 Variants of Concern and markedly enhanced efficacy to block these infections including
214 the Delta variant, demonstrating that the prediction holds true – clinical grade ACE2 can
215 effectively block all tested SARS-CoV-2 variants and this inhibition is markedly improved
216 against all Variants of Concern and Variants of Interest, including Omicron. APN01 has
217 now undergone phase 2 testing in WHO stage 4-6 COVID-19 patients using intravenous
218 infusions and, in cooperation with researchers at the NIH, we have developed a
219 formulation of APN01 that can be inhaled as an aerosol to directly interfere with the
220 earliest steps of viral infection and COVID-19 development [58]. That this inhalation
221 approach can indeed protect from SARS-CoV-2 infections has been directly confirmed in
222 mice infected with our new mouse adapted SARS-CoV-2 variant, that carries two
223 mutations also found in Omicron [35, 58]. Inhalation of soluble ACE2/APN01 is currently
224 tested in phase 1 trials to assess its safety and tolerability.

225 The source of the VOC Omicron is currently unclear and multiple hypotheses have been
226 put forward to explain the high number of mutations, including animal hosts as well as
227 protracted infections in immunocompromised hosts that could have led to the gradual
228 evolution of this variant. Additionally, selective pressure by both mass vaccination

229 programs, and antibody and small molecule therapeutics are likely to promote further viral
230 evolution and drive the emergence of therapeutic-/antibody-resistant variant strains of
231 SARS-CoV-2. This viral evolution has already led to the emergence of the Delta and now
232 Omicron VOC that caused devastating global waves of infection and, concerningly, large
233 numbers of re-infections. Our data now shows that clinical grade ACE2/APN01 blocks
234 infectivity of Omicron supporting the notion that this therapeutic is inherently resistant to
235 escape mutations. Our first experimental demonstration that ACE2 inhibits Omicron
236 infections with high efficacy, studies by other groups working on ACE2 and clinical data
237 using soluble ACE2/APN01 support the development of this universal and pan-variant
238 SARS-CoV-2 prevention and therapy. In particular, such an approach should be viable
239 and effective to prevent and treat Omicron infections.

240 **Limitations of this study.** Our study used VeroE6 cells, the classic cellular model for
241 SARS and SARS-CoV-2 infection studies. The study should be expanded to additional
242 cell types as well as human organoids. Moreover, the affinity of Omicron Spike and
243 Omicron RBD should be determined in direct affinity/avidity measurements as well as the
244 impact of non-RBD Spike mutations on the infection process. Of note, from all our
245 previous studies and studies from other groups, the data on soluble ACE2 inhibiting
246 SARS-CoV-2 infections in VeroE6 cells were always supported by results in all other cell
247 types tested. Moreover, we used sera collected 4-6 weeks after the second mRNA
248 vaccination from 4 SARS-CoV-2 naïve healthcare workers, which needs to be expanded
249 to different vaccine regimens and vaccine types and increased sample numbers, though
250 multiple studies are now being released also demonstrating impaired vaccine efficacies
251 to Omicron.

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254

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267

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269 Apeiron Biologics that is developing soluble ACE2/APN01 for COVID-19 therapy. All other
270 authors have nothing to disclose.

271

272 **Materials and Methods**

273 **Viral Strains and isolates.** SARS-CoV-2 Wuhan and Omicron strains were isolated from
274 nasopharyngeal swabs from patients in Sweden. The isolates were sequenced by Next-
275 Generation Sequencing (Genbank accession number MT093571).

276 **Sera of vaccinees.** Serum was taken 5-7 weeks after the second immunization with the
277 mRNA vaccine Comirnaty (median dose interval 21 days (range 21-24) from four SARS-
278 CoV-2 naïve healthcare workers (75% female, median age 46 [IQR 37-59]) which took
279 part in the COMMUNITY study. The COMMUNITY study was approved by the Swedish
280 Ethical Review Authority (Dnr: 2020-01653).

281

282 **Cell lines and cell culture.** African green monkey kidney epithelial VeroE6 (ATCC) were
283 grown in Dulbecco's Modified Eagle's Medium (DMEM, Thermofisher, supplemented with
284 1% Non- Essential Amino-Acids (Thermofisher), 10mM HEPES (Thermofisher) and 10%
285 FBS) at 37°C, 5% CO₂. Infection and APN01 mediated viral neutralisation assays were
286 conducted at the Karolinska Institute and Karolinska University Hospital.

287 **Viral neutralization experiments.** 24h after seeding of VeroE6 cells (5×10^5 per 48 well),
288 APN01 was mixed with viral particles (MOI of 0.01) of the indicated strains at the given
289 concentrations in DMEM Medium (Thermofischer) containing 5% FBS in 100µl per well
290 and incubated for 30min at 37°C. After the incubation period medium was removed from
291 VeroE6 cells, cells were washed once with PBS to remove any non-attached cells and
292 virus/APN01 mixtures. Cells were incubated with virus for 15h, after which cells were
293 washed 3 times with PBS and lysed with Trizol, subsequently. RNA was extracted using
294 the direct-zol RNA kit (Zymo Research) and assayed by qRT-PCR as previously
295 described (Monteil et al, Cell, 2020) [42]. For serum neutralization assays VeroE6 cells
296 were seeded in 48-well plates as described above 24 hours post-seeding and indicated
297 dilutions of vaccinated subjects sera were mixed with SARS-CoV-2 Wuhan or Omicron
298 strains at an MOI of 0.01 in a final volume of 100ml per well in DMEM (0% FBS) at 37°C
299 under shaking conditions for 30 minutes. The serum dilutions used in this experiment
300 were determined after a neutralization assay against the Wuhan reference strain. After

301 30 minutes, VeroE6 cells were infected with and Serum/SARS-CoV-2 for 15 hours. 15
302 hours post-infection, supernatants were removed, cells were washed 3 times with PBS
303 and then lysed using Trizol (Thermofisher) before analysis by qRT-PCR for viral RNA
304 detection as previously described (Monteil et al, Cell, 2020) [42].

305 **Preparation of recombinant human ACE2.** Clinical grade recombinant human ACE2
306 (amino acids 18-740) was produced by the contract manufacturer Polymun Scientific
307 (Klosterneuburg, Austria) from CHO cells according to GMP guidelines under serum free
308 conditions and formulated as a physiologic aqueous solution, as described previously
309 (Zoufaly et al, 2021, Lancet Respiratory Medicine).

310 **Visualizations of RBD domains, full-length Spike protein, and Omicron Spike-ACE2**
311 **interactions.** Visualizations were rendered with pymol software (the PyMOL Molecular
312 Graphics System, Version 2.0 Schrödinger, LLC), based on a model of the fully
313 glycosylated Spike-ACE2 complex described in Capraz et al. [37] and
314 [https://covid.molssi.org//models/#spike-protein-in-complex-with-human-ace2-ace2-](https://covid.molssi.org//models/#spike-protein-in-complex-with-human-ace2-ace2-spike-binding)
315 [spike-binding](https://covid.molssi.org//models/#spike-protein-in-complex-with-human-ace2-ace2-spike-binding).

316 **Primers.** The following tables lists the primers used in this study:

Name	Sequence	Target	Source
SARS-CoV-2 E gene - fwd	ACAGGTACGTTAATAGTTAATA GCGT	SARS-CoV-2 E gene	Monteil et al, 2020 [42]
SARS-CoV-2 E gene - rev	ATATTGCAGCAGTACGCACAC A	SARS-CoV-2 E gene	Monteil et al, 2020 [42]
SARS-CoV-2 E gene - probe	FAM- ACACTAGCCATCCTTACTGCG CTTCG-QSY	SARS-CoV-2 E gene	Monteil et al, 2020 [42]
Human RNase P - fwd	AGATTTGGACCTGCGAGCG	Human RNase P	Monteil et al, 2020 [42]
Human RNase P - rev	GAGCGGCTGTCTCCACAAGT	Human RNase P	Monteil et al, 2020 [42]
Human RNase P- probe	FAM-TTCTGACCTGAAGGCTCT GCGCG-MGB	Human RNase P	Monteil et al, 2020 [42]

317

318

319 **Figure Legends**

320

321 **Figure 1. Omicron Spike and RBD mutations and ACE2 interaction.**

322 **(a)** PyMOL rendering of the trimeric full-length SARS-CoV-2 Spike protein of the Wuhan
323 reference strain. One RBD domain is shown in red. Indicated in green are positions
324 mutated in the Omicron SARS-CoV-2 variant Spike used in experiments in this study.
325 Depicted in yellow are the glycan-modifications of the Spike protein. **(b)** PyMOL rendering
326 depicting the SARS-CoV-2 RBD domain from the Wuhan reference strain with positions
327 mutated in Omicron RBD highlighted in green. **(c)** PyMOL rendering of trimeric Spike
328 (RBD in red) with human ACE2 (magenta). Positions mutated in Omicron are highlighted
329 in green to indicate their position relative to the interaction surface with ACE2. Glycan
330 modifications are depicted in yellow on both Spike and ACE2 protein.

331

332 **Figure 2. Loss of viral neutralization potency of doubly mRNA vaccinated subjects’**
333 **sera against Omicron.**

334 **(a)** Diagrams depict the level of infection (left panels) or inhibition of infection (right panels)
335 with the SARS-CoV-2 Wuhan reference strain isolate in the presence of the indicated
336 dilutions of four different mRNA vaccinee’s sera. Sera were taken 5-7 weeks after the
337 second mRNA vaccination, i.e. at the peak of the antibody response, **(b)** Diagrams depict
338 the level of infection with the SARS-CoV-2 Omicron strain isolate in the presence of the
339 indicated dilutions of sera from (a). Data from Vero E6 cell infections are shown at MOI
340 0.01. Shown in **(a)** and **(b)** are means of triplicate analyses with standard deviations.
341 Statistical significance is indicated by asterisks (p-value < 0.01: **; p-value < 0.001: ***
342 calculated using Two-way ANOVA).

343

344 **Figure 3. Increased potency of soluble ACE2/APN01 against the Omicron SARS-**
345 **CoV-2 Variant of Concern.**

346 **(a,b)** Diagrams depict the level of infection **(a)** and level of inhibition of infection **(b)** of
347 Vero E6 cells with the Wuhan SARS-CoV-2 reference isolate (left panels) and the
348 Omicron SARS-CoV-2 isolate (right panels) in the presence of the indicated
349 concentrations of soluble ACE2/APN01 as compared to mock treatment. Vero E6 cells
350 were infected at MOI 0.01. Shown are means of triplicate analyses with standard
351 deviations. Statistical significance is indicated by asterisks (p-value < 0.001: ***; p-value
352 < 0.0001: **** calculated using Two-way ANOVA).

353

354

355 References

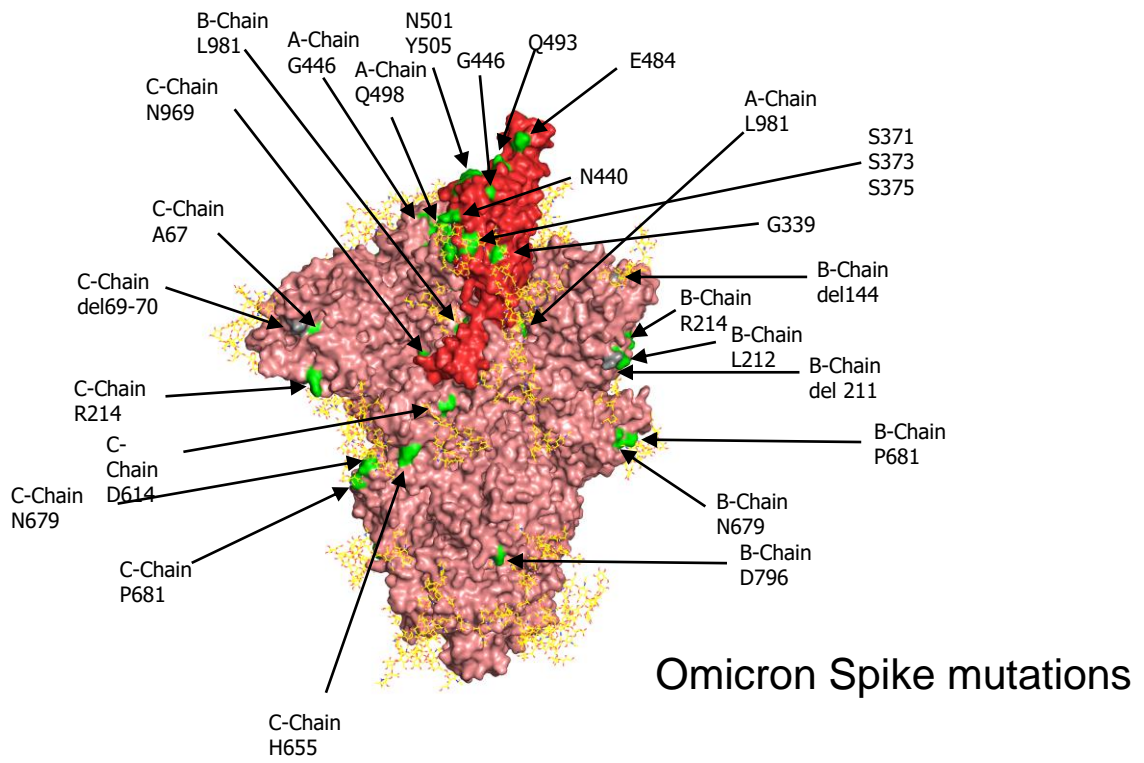
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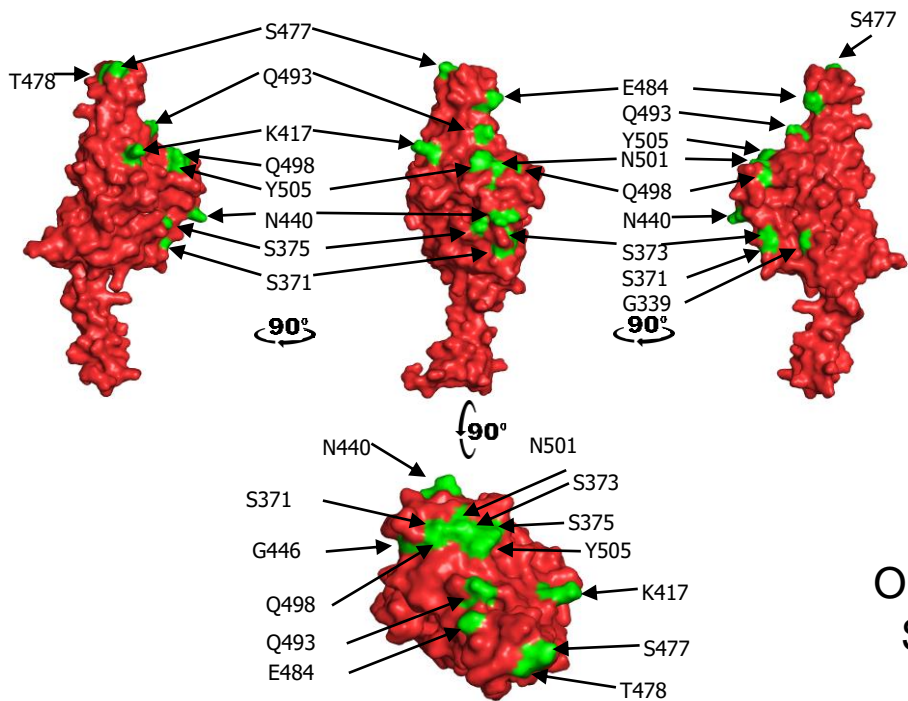
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a



b

Omicron RBD mutations



c

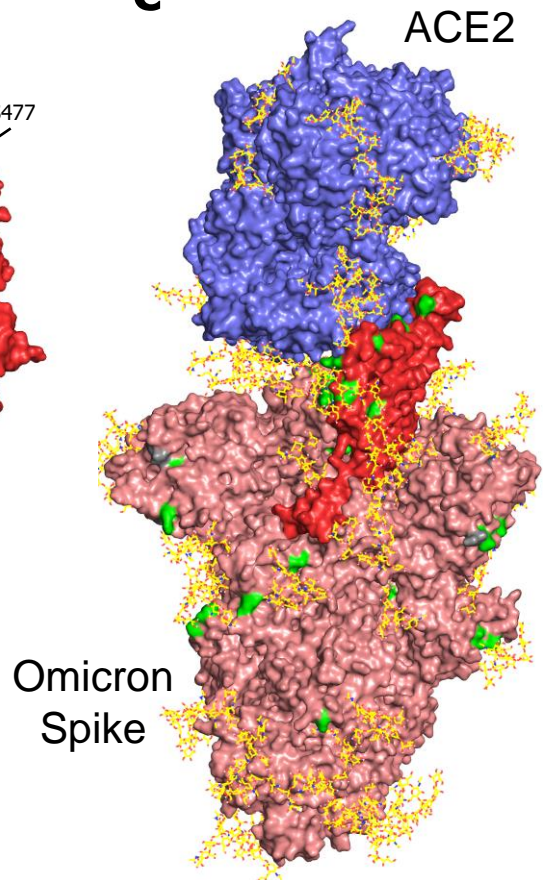


Figure 1

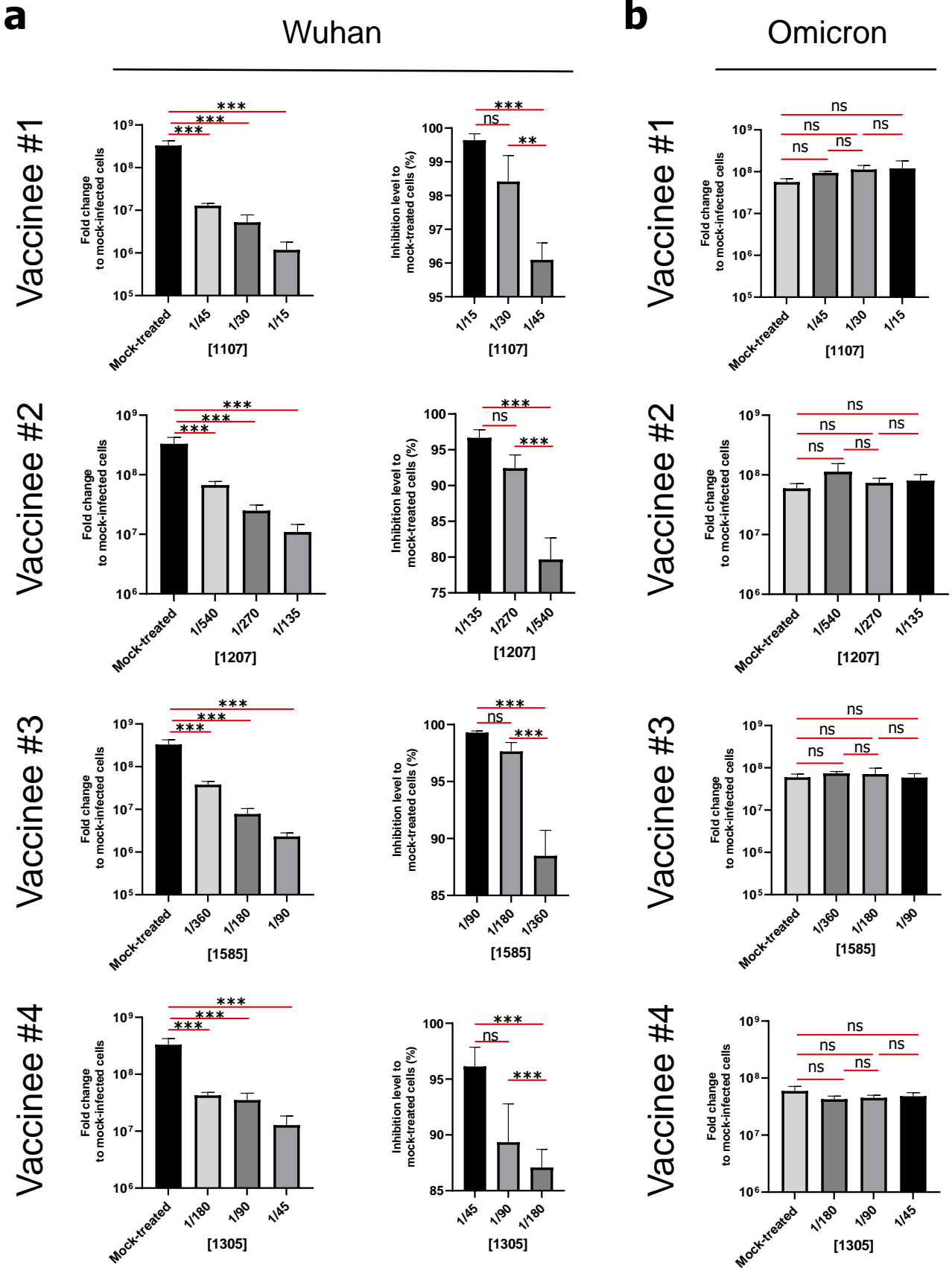
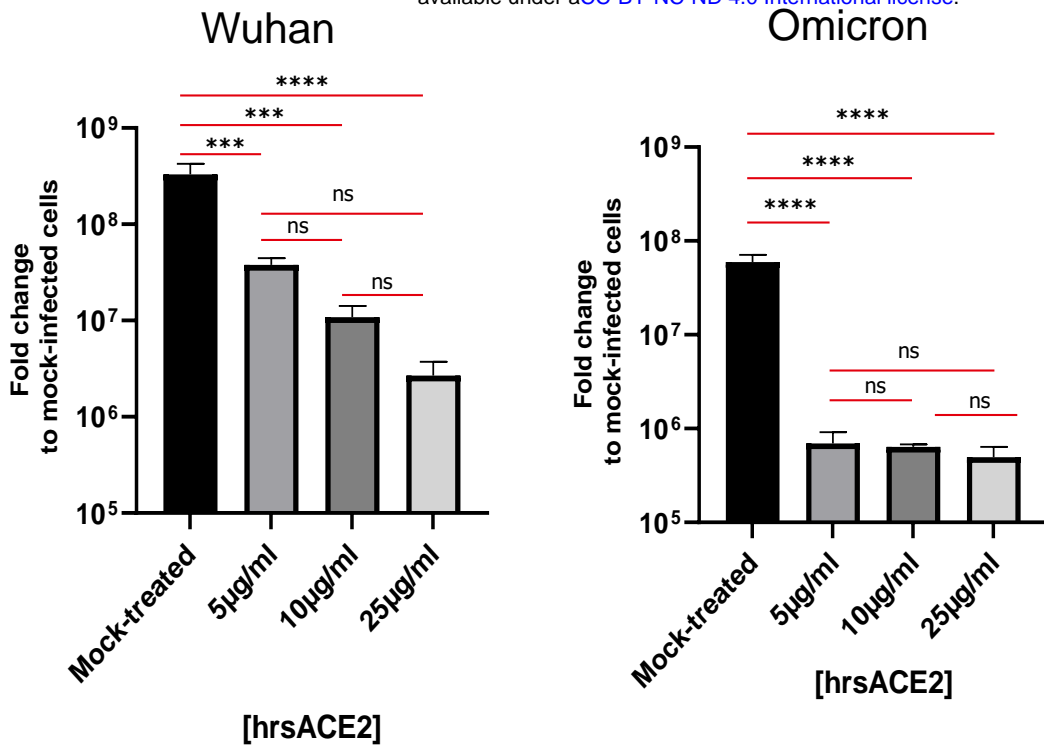


Figure 2

a



b

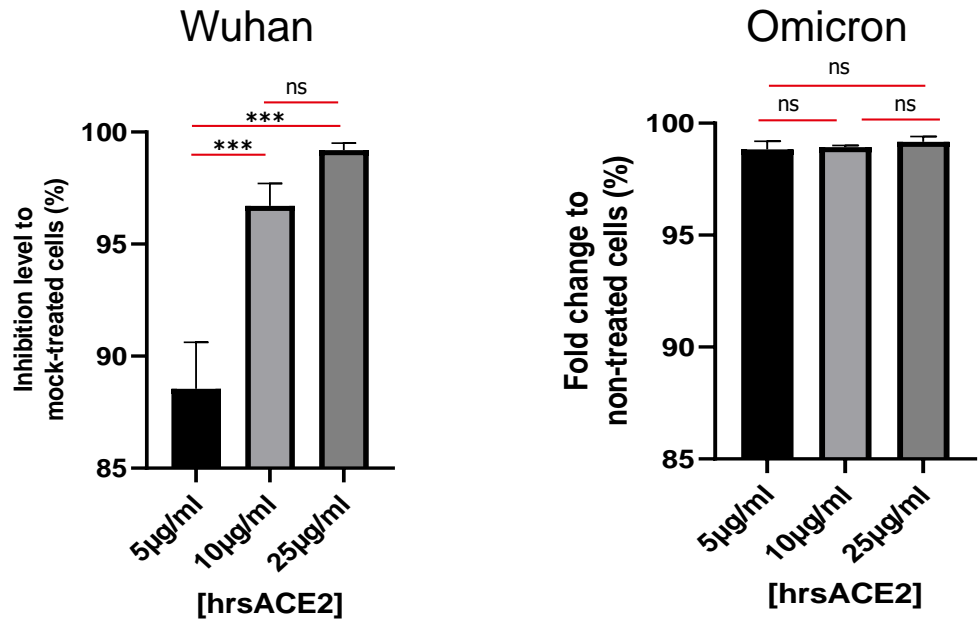


Figure 3